IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Application No. | : To Be Assigned Confirmation : No. |
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| First Named Inventor | : Helmut ALTHEIMER |
| Filed | : February 28, 2006 |
| TC/A.U. Examiner | : : Not Yet Assigned |
| Examiner | . Not let Assigned |
| Docket No. | : 100341.56445US |
| Customer No. | : 23911 |
| Title | : Error-Tolerant Progressive Glas Design |
| —————————————————————————————————————— | RMATION DISCLOSURE STATEMENT INDER 37 C.F.R § § 1.97 AND 1.98 |
| Commissioner for Pate P.O. Box 1450 Alexandria, VA 22313- | |
| Sir: | |
| attached Form PTO- | with the duty of disclosure under 37 C.F.R. § 1.56, the 1449 lists documents which the Examiner may deem by of the claims of the above-identified application. |
| I. Time Period of S | <u>ubmission</u> |
| This Information | n Disclosure Statement is submitted: |
| or 2) before the mailing later) or 3) before a fir | later than three months from the application's filing date g date of the first Office Action on the merits (whichever is st Office Action after the filing of a Request for Continued refore no statement under 37 C.F.R. § 1.97(e) or fee under quired. |
| | er the later of three months from the application's filing date of the first Office Action on the merits, but before a |

a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

Final Office Action, a Notice of Allowance, or an action closing prosecution (Ex parte Quayle), (whichever is earlier), and therefore Applicant is filing

a Statement under 37 C.F.R. § 1.97(e); or

concurrently herewith:

| 3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith: |
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| a Statement under 37 C.F.R. § 1.97(e); and |
| a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p). |
| II. Statement Under 37 C.F.R. § 1.97(e) |
| ☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or |
| I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or |
| III. Statement under 37 C.F.R. § 1.704(d) |
| ☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. |
| IV. Submission of Non-English Language Documents |
| ☐ The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449: |
| The relevance of documents WO 01/81981 A; EP 0 911 670 A to the subject matter of the present invention is/are provided in the specification of the above-identified application. |
| Corresponding foreign or international reports citing document EP 1 170 620 A1 (German Office Action), together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted. |
| English language family member publication(s) of document(s) is/are noted on Form PTO-1449. |

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| | | | English language abstract(s) is/are submitted for document(s) | | | | | | |
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| | is/ | are sub | English tran | | f the fo | reign langua | age document(s) | | |
| | | | Applicant | submits | the | following | explanations: | | |
| V. | Conti | nuatio | ns/Divisionals | <u>3</u> | | | | | |
| since | they v | _, filed 37 C.I vere pr | l F.R. §1.98(d), | _, from whice copies of the copies of conition or constant to or constant or c | ch this a e docum ited by t | pplication cla nents are not the United St | ation Serial No. nims benefit. As being provided eates Patent and | | |
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| | | | | Resp | ectfully | submitted, | • | | |
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| Intel P.O. Wash | lectual Box 14 ningtor | Prope: 300 n, DC 2 | RING LLP rty Group :0044-4300 02) 624-2500 | 2000 | | | | | |

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| Substitute for form 1449A/PTO | | | | Complete if Known | | | |
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| · | | | | Application Number | To Be Assigned | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | URE | Filing Date | February 28, 2006 | | |
| | | | ANT | First Named Inventor | Helmut ALTHEIMER | | |
| | | | | Art Unit | To Be Assigned | | |
| | | | y) | Examiner Name | To Be Assigned | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 100341.56445US | | |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|--------------|--|--------------------------------|--|---|--|--|
| Examiner Initials' | Cite No.1 | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant | | |
| muais | 140. | Number-Kind Code ² (if known) | 101101-25-1111 | Applicant of often bocament | Figures Appear | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
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| Examiner Initials' | Cite No.1 | Foreign Patent Document Country Code ³ –Number ⁴ –Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | l T⁵ | |
| | AA | EP 0 911 670 A1 | 04/28/1999 | Essilor International | | | |
| | AB | WO 01/81981 A2 | 11/01/2001 | Winfried NIKOLAUS et al. | | ļ | |
| | AC | EP 1 170 620 A1 | 01/09/2002 | Hoya Corporation | | | |
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| Examiner | Date | |
| Signature | Considered | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.